



## USA PATRIOT ACT COMPLIANCE CERTIFICATE

I certify that:

1. I am an officer, director or trustee of the Organization named below, and I am authorized to execute this Certificate on behalf of the Organization named below.
2. The information contained in this Certificate, the Organization’s application for funds and all accompanying information is true and correct, and I have the permission of any individual whose personal information is contained in the grant request to submit such information.
3. The Organization does not engage in or support any terrorist activity.
4. If the Organization is awarded funds from Howmet Aerospace Foundation, it will use the proceeds of the grant solely for charitable or educational purposes. The Organization will not distribute the grant to or use it to benefit any individuals or organization(s) engaged in or supportive of terrorism. It will not use the grant for any other unlawful purpose.
5. The Organization does not and will not make any sub-grants to any persons or organizations who are terrorists or who support terrorists or terrorist organizations.
6. The Organization does not utilize subcontracting organizations associated with terrorists, terrorist organizations, or terrorist supporters.
7. The Organization’s directors, officers, senior management, and key employees are not known to:
  - support terrorism, other individuals who support terrorism, or terrorist organizations,
  - be subject to or to have violated OFAC sanctions, or
  - appear on any lists maintained by the United States government of such individuals, including the OFAC list of Specially Designated Nationals.
8. The Organization does not deal with any individuals, entities, or groups subject to OFAC sanctions or any other persons known to the grantee to support terrorism or to have violated OFAC sanctions.
9. If the Organization is organized in the United States, it a) holds a determination letter from the Internal Revenue Service identifying it as a 501(c)(3) public charity; or b) is a public school or a governmental body, or c) is not a 501(c)(3) public charity, public school or governmental body, but will use all Howmet Aerospace Foundation grant proceeds for the agreed upon charitable and/or educational purpose.
10. The Organization recognizes that the completion of the grant application and this Certificate does not necessarily entitle the Organization to a grant from Howmet Aerospace and/or Howmet Aerospace Foundation. Howmet Aerospace and/or Howmet Aerospace Foundation or its designated contractor may request additional documentation from the Organization or me and reserves the right to deny a grant to any organization for any reason. Decisions made by Howmet Aerospace and/or Howmet Aerospace Foundation regarding grants are final.
11. If the Organization receives US\$10,000 or more in Howmet Aerospace and/or Howmet Aerospace Foundation grants in any single calendar year, it will permit Howmet Aerospace and/or Howmet Aerospace Foundation to review and audit its financial records and statements as they relate to the use of these funds.
12. The Organization attests that no Howmet Aerospace and/or Howmet Aerospace Foundation employees will receive any benefit, service or money if it is awarded a Howmet Aerospace and/or Howmet Aerospace Foundation grant.

**I understand that I am legally binding the organization named below.**

Organization Name

Signature of organization officer authorized to sign this document - **REQUIRED**

Typed or printed name of organization officer authorized to sign this document

Title of the officer whose signature appears above (President, Executive Director, etc.)

Date

This information is confidential and will be used only by Howmet Aerospace Foundation or its designated contractor.

**PAGES 2 AND 3 MUST BE COMPLETED.**



## USA PATRIOT ACT COMPLIANCE QUESTIONNAIRE

### PART I: GRANT APPLICANT INFORMATION MUST BE TYPED OR PRINTED LEGIBLY.

1. Grantee Organization Name: \_\_\_\_\_
2. List any other organization names under which the Grantee Organization named in No. 1 above conducts business:
3. Provide a list of the full names for all officers, directors and executive staff of the Grantee Organization in the required spreadsheet template (template available in the associated online form). Do not scan the spreadsheet. It must be received in the spreadsheet format provided in the online form.
4. **Name and full address** of the primary bank or financial institution(s) where the Grantee Organization maintains the majority of its accounts:
5. **Names and addresses** of all organizations with which the Grantee Organization is affiliated. For example, list organizations that control the Grantee Organization, that the Grantee Organization controls, or that are under common control with Grantee Organization. Also list organizations for which the Grantee Organization raises donations or organizations that conduct regular fundraising activities or campaigns on behalf of the Grantee Organization. It is not necessary to list all individual or corporate donors to the Grantee Organization. In the case of United Way (UW) (or similar organizations), it is not necessary to list the nonprofit organizations which receive UW funding or the companies which conduct corporate workplace UW campaigns. **You must complete page 3 if the grant amount is US\$30,000 or more.**



**PART II: TO BE COMPLETED ONLY IF THE TOTAL ORIGINAL GRANT REQUEST IS FOR US\$30,000 OR MORE**

<p>6. List all Affiliate Organizations named in Item 5 on Page 2 on a separate line below</p>	<p>7. <b>Name and address only</b> of the primary bank where the Organization named in Item 6 to the left maintains its funds; do <b>not</b> include account information</p>	<p>8. Names of all Affiliates of the Organization named in Item 6 to the left. (An Affiliate is an organization that controls, is controlled by, or is under common control with, or raises funds for the Organization or for which the Organization raises funds.):</p>
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		